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Attorney Docket No.: 20162-000210US

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: V-GEN LLC

Application No./Patent No.: 09/774,751

Filed/Issue Date: January 30, 2001

Entitled: AUTOMATED AGGREGATION AND MANAGEMENT OF DISTRIBUTED ELECTRIC LOAD REDUCTION

V-GEN LLC

a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To : \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To : \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To : \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

8 Jun 81  
Date

[Signature]  
Signature  
William Stevens Taber, Jr.  
Typed or printed name  
Chairman  
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1224728 v1

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**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Patrick Davis, of 17909 Worley Drive, Pflugerville, TX 78660; William Stevens Taber, Jr., of 860 Butterfield Lane, San Anselmo, CA 94960; Greg S. Mattison, of 1109 Dalea Bluff, Round Rock, TX 78664; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:      **AUTOMATED AGGREGATION AND  
MANAGEMENT OF DISTRIBUTED ELECTRIC  
LOAD REDUCTION**

Date(s) of execution of Declaration:

Filing Date:              January 30, 2001

Application No.:          09/774,751; and

WHEREAS, V-GEN LLC, a corporation of California,, located at 860 Butterfield Lane, San Anselmo, CA, 94960, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

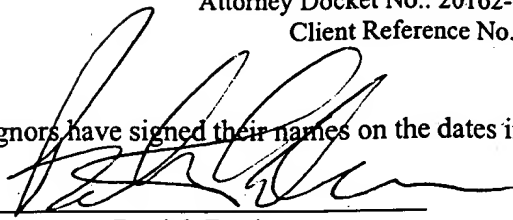
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

**BEST AVAILABLE COPY**

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

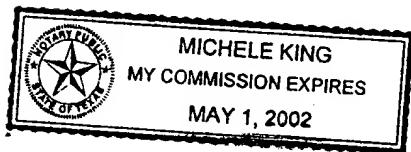
Dated: 6-14-01

  
Patrick Davis

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                 )     ss.

On 6-14-01, before me, Patrick Davis, personally appeared Patrick Davis, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

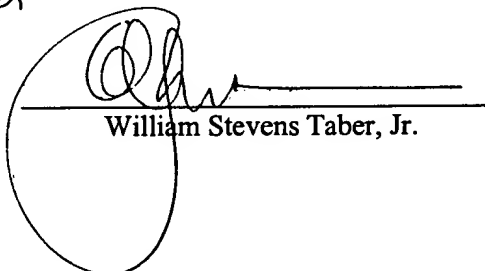
WITNESS my hand and official seal.



  
NOTARY PUBLIC

My Commission Expires: May 1, 2002

Dated: 6-8-01

  
William Stevens Taber, Jr.

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF Marin         )     ss.

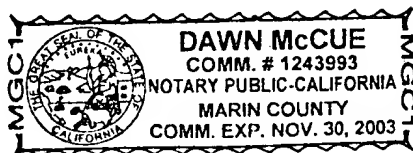
On 6-8-01, before me, Dawn McCue, personally appeared William Stevens Taber, Jr., personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

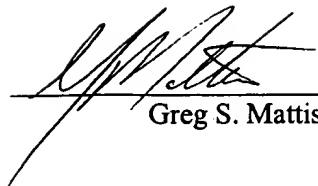
**BEST AVAILABLE COPY**

  
NOTARY PUBLIC

My Commission Expires: 11-30-03



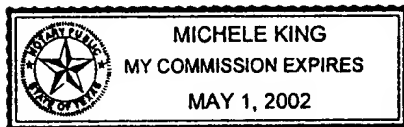
Dated: 6-14-01

  
\_\_\_\_\_  
Greg S. Mattison

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                 )     ss.

On 6-14-01, before me, Greg Mattison,  
personally appeared Greg S. Mattison, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and  
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her  
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.



  
\_\_\_\_\_  
NOTARY PUBLIC

My Commission Expires: may 1, 2002